



ACH Authorization Agreement *Return this Original ACH form to SSG (No copies or faxes)*

For distributions from an IRA account, an IRA Distribution Form is also required.

Use this form to set up electronic transfers of funds between your bank account and brokerage your account. You may make deposits into your brokerage account from your bank account, or send payments to your bank account from your brokerage account.

1) ACCOUNT INFORMATION

BROKERAGE ACCOUNT TITLE/ NAME(S)*: _____

BROKERAGE ACCOUNT NUMBER: -

ADVISOR IP NUMBER:

2) BANK ACCOUNT INFORMATION Indicate type of Bank Account: Checking Savings

ABA NUMBER: _____

DDA NUMBER: _____

BANK NAME: _____

CITY, STATE, ZIP: _____

3) ACH INSTRUCTIONS. For distributions from an IRA account, an IRA Distribution Form is also required.

- ACH TYPE:**
- Scheduled Distributions to Bank Acct
 - Income Distributions to Bank Acct
 - Ad Hoc transfers to or from Bank Acct
 - Scheduled Deposits to Brokerage Acct (Initial account funding by ACH is not allowed.)
 - Scheduled transfers for automatic purchase of Mutual Funds (SRS) (NOTE: Periodic mutual fund purchase form is also required.)

FOR AUTOMATIC ACH CONTRIBUTIONS TO RETIREMENT ACCOUNTS, INDICATE CONTRIBUTION TYPE:

- Participant Current Year
- Employer Current Year
- Employer Prior Year
- Employee Deferral Current Year
- Employer Deferral Prior Year
- Employer Matching Current Year
- Employer Matching Prior Year
- Qualified Matching
- Qualified Non-Elective
- Voluntary After-Tax

FREQUENCY:

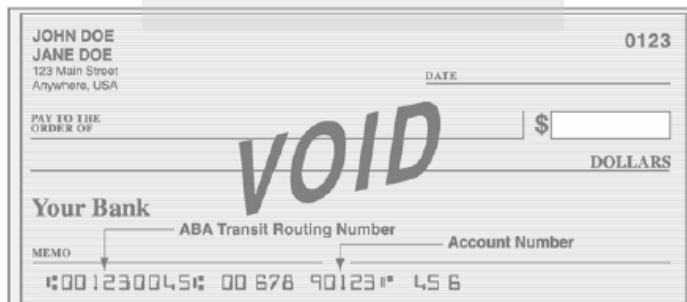
- Semi- Monthly (2x/Mo)
- Monthly
- Bi-Monthly (6x/yr)
- Quarterly
- Semi-Annually
- Annually
- Ad Hoc

INDICATE AMOUNT (WHOLE DOLLARS ONLY) AND START DATE:

AMOUNT _____ START DATE _____

Use Pre-printed checks ONLY. NO starter checks. NO bank counter checks. No copies of checks.

Please be sure to enclose an original blank voided check—not a copy.



Note for business accounts: supporting documentation confirming the signature authority of the account is required. Call for more details.

NOTE REGARDING SAVINGS ACCOUNTS: To set up ACH transfers with a savings account, a bank encoded deposit slip imprinted with name and address of registered account holders or a copy of the bank statement or a letter from the bank on the bank's letterhead, confirming the owners and bank information, signed by a bank representative, and either signature guaranteed by an officer of the bank or notarized, must accompany this authorization.

I (we) hereby authorize Pershing LLC to initiate credit and debit entries to the bank account indicated above and further authorize my (our) Bank to credit and debit the same to such account. This authority is to remain in full force and effect until PERSHING LLC has received written notification from me (or either of us) of its termination in such time and in such manner as to afford PERSHING LLC and my (our) Bank a reasonable opportunity to act on it. It is understood that if the periodic purchase of mutual funds is selected as a contribution type, this agreement authorizes payment for purchasing securities through my investment professional or financial organization via the Systematic Reinvestment System (SRS).

Note: All parties on both the bank account and the brokerage account must sign this form.

PRIMARY ACCOUNT OWNER

NAME: _____

SIGNATURE: _____

DATE: _____

JOINT ACCOUNT OWNER (IF APPLICABLE)

NAME: _____

SIGNATURE: _____

DATE: _____

Return this original ACH form with original voided check to Shareholders Service Group. Copies or faxes are not acceptable.



PCPRACH